

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <u>22</u> April 2005 ( <u>22</u> 04.2005)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APP. NO. (if known) Not Yet Assigned <b>10/532216</b>
INTERNATIONAL APPLICATION NO.: PCT/JP2004/004313	INTERNATIONAL FILING DATE: 26 March 2004 (26.03.2004)	PRIORITY DATE CLAIMED: 01 April 2003 (01.04.2003) JP 01 April 2003 (01.04.2003) JP 01 April 2003 (01.04.2003) JP
TITLE OF INVENTION: <b>AN LED DRIVING DEVICE AND LED DRIVING METHOD</b>		
APPLICANT(S) FOR DO/EO/US: Yutaka OZAKI		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The U.S. has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) as provided in the PCT Request.</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>TRANSMITTAL FORM; FEE CALCULATION; INTERNATIONAL PUBLICATION WO 2004/090997 A1 WITH INTERNATIONAL PUBLICATION DATE 21 OCTOBER 2004 WITH SEARCH REPORT ; ENGLISH TRANSLATION WHICH CONSISTS OF 52 PAGES INCLUDING 2 PAGE COVER SHEET CONTAINING THE ABSTRACT; 34 PAGES TEXTUAL SPECIFICATION, 5 PAGE S OF 16 CLAIMS, 1 PAGE ABSTRACT, 3 PAGES OF FIGURE DESCRIPTION REFERENCES, 9 SHEETS DRAWINGS; PRELIMINARY AMENDMENT WITH APPENDIXES A &amp; B TO BE EXAMINED; UNEXECUTED DECLARATION; INFORMATION DISCLOSURE STATEMENT; STATEMENT UNDER § 1893.03(G) OF THE MPEP; PTO FORM 1449 WITH 12 REFERENCES; PCT REQUEST; PCT/IB/304 TRANSMITTAL OF PRIORITY DOCUMENT; PCT/IB/308 NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES; PCT IB/346 NOTIFICATION CONCERNING THE FILING OF AMENDMENTS OF THE CLAIMS; .</p>		

U.S. APPLICATION NO. (if known) not yet assigned <b>10/532216</b>		INTERNATIONAL APPLICATION NO. PCT/JP2004/004313		DATE: <u>22</u> April 2005 ( <u>22</u> .04.2005)							
21. <input checked="" type="checkbox"/> The following fees are submitted:  Basic National Fee (37 CFR 1.492(a)(1)-(5): Filing Fee, Examination Fee and Search Fee           ENTER APPROPRIATE BASIC FEE AMOUNT =				<table border="1"> <thead> <tr> <th>CALCULATIONS</th> <th>PTO USE ONLY</th> </tr> </thead> <tbody> <tr> <td>\$ 1,000.00</td> <td></td> </tr> <tr> <td>\$1,000.00</td> <td></td> </tr> </tbody> </table>		CALCULATIONS	PTO USE ONLY	\$ 1,000.00		\$1,000.00	
CALCULATIONS	PTO USE ONLY										
\$ 1,000.00											
\$1,000.00											
Surcharge of \$130.00 for furnishing the oath or declaration later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$							
CLAIMS	NO. FILED	NO. EXTRA	RATE								
TOTAL	16 -20=	0	X \$ 50.00	\$	.00						
INDEPENDENT	3 -3=	0	X \$200.00	\$	.00						
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$	.00						
TOTAL OF ABOVE CALCULATIONS =				\$	1,000.00						
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$	500.00						
SUBTOTAL =				\$	500.00						
Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0.00						
TOTAL NATIONAL FEE =				\$	500.00						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	0.00						
TOTAL FEES ENCLOSED =				\$	500.00						
				Amount to be:							
				refunded	\$						
				charged	\$						

ATTORNEY'S DOCKET NO: 26738U

U.S. APPLICATION NO. (if known) not yet assigned  <div style="font-size: 1.5em; font-weight: bold;">10/532216</div>	INTERNATIONAL APPLICATION NO.  PCT/JP2004/004313	DATE: <u>22</u> April 2005 ( <u>22</u> 04.2005 )
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a. ☒ One check in the amount of \$500.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$\_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

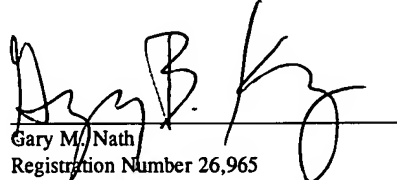
d. Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form. ☐ Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

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10/532216

MAIL STOP PCT

Attorney Docket No. 26738U

JCO6 Rec'd PCT/PTO 22 APR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

OZAKI, Yutaka

International Application No. PCT/JP2004/004313

Serial No. NOT YET ASSIGNED

International Filing Date: 26 March 2004 (26.03.2004)

Filed: April 22, 2005

For: AN LED DRIVING DEVICE AND LED DRIVING METHOD

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

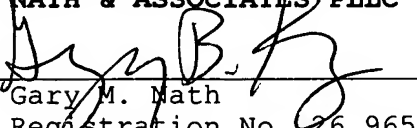
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Transmittal Letter To U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 2004/090997 A1; International Publication Date: 21 October 2004 (21.10.2004) with Search Report
- (4) English Translation which consists of 54 pages including:
  - 2 cover sheet containing the Abstract,
  - 34 pages Textual Specification;
  - 5 pages of 16 claims;
  - 1 page Abstract;
  - 3 pages of figure description references;
  - 9 sheets of Drawings
- (4) Preliminary Amendment with Appendixes A & B to be examined;
- (5) Unexecuted Inventor's Declaration;
- (6) Information Disclosure Statement;
- (7) Statement Under § 1893.03 (g) of the MPEP;
- (8) PTO Form 1449 with 12 references;
- (9) PCT Request;
- (10) PCT/IB/304 Notification Concerning Transmittal of Priority Document;
- (11) PCT/IB/308 Notice Informing the Applicant of the Communication of the International Application of the International Application to the Designated Offices;
- (12) PCT/IB/346 Notification Concerning the Filing of Amendments of the Claims;
- (13) Check No. 22920 \$ 500.00 for Filing Fee, Examination Fee and Search Fee as a small entity;
- (14) Postcard for early notification of serial number.

Respectfully submitted,  
NATH & ASSOCIATES, PLLC

By:

  
Gary M. Nath  
Registration No. 26,965  
Gregory B. Kang  
Registration No. 45,273  
Customer No. 20529

Date: April 22, 2005  
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